

COMMUNITY SERVICES

APPENDIX 2

Budget Monitoring 2012/13 (Month 12)

| Service  | Revised Budget (£m) | Projected Outturn (£m) | Variance (£m) | Variance Last Month (£m) | Cause of Major Variance  | Action Required   |
|--|---------------------|------------------------|---------------|--------------------------|--|---|
| Resources and Regulated Services (Intake and Reablement) | 6.236               | 5.366                  | (0.870)       | (0.747)                  | <p>Extra Care projected underspend on new scheme of £0.281m due to timeframe for completion; increased by £0.039m from month 11 due to delayed spend.</p> <p>In-house Domiciliary Care underspend of £0.377m due to reduced pay costs from changes to service delivery and greater use of reablement and independent sector care providers.</p> <p>An underspend of £0.211m relates to work to be undertaken in 2013/14 to realign the budgets following the transport review and re-tendering; the increase of £0.013m from month 11 is due to the impact of the re-tendering exercise on 2012/13.</p> <p>Day Services is underspending by £0.069m, mostly due to vacancies within the Service, and Living Well is underspent £0.043m due to a vacant post. These underspends are offset by a projected overspend within Professional Support of £0.150m which will be addressed as part of TSSA realignment. The balance of £0.039m is the net total of various smaller variances.</p> | <p>The underspend against Extra Care is one-off and non recurring and has arisen due to delays in building works encountered by our partner. Keep under review pending completion of Transforming Social Services for Adults (TSSA) programme and realign budgets as appropriate.</p> |

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| Reablement Service (Intake and Reablement) | 0.370               | 0.299                  | (0.071)       | (0.070)                  | Pay costs have reduced (£0.076m) due to the use of Homecare staff, vacant post and part year appointments together with additional Health income of £0.029m and a reduction in committed spend on other equipment of £0.019m. These underspends have been offset by an additional contribution to the NE Wales Community Equipment Service (NEWCES) of £0.060m for essential equipment purchases due to increased activity. The balance of £0.007m is made up of a variety of small variances. | Keep under review pending completion of Transforming Social Services for Adults (TSSA) programme.<br><br>Due to increasing demand for equipment from the NEWCES it is acknowledged that there will be an ongoing requirement for increased funding. This will be addressed during 2013/14. |

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| Locality Teams (Localities) | 16.490              | 15.979                 | (0.511)       | (0.226)                  | <p><u>Physical Disability Services</u></p> <ul style="list-style-type: none"> <li>&gt; Purchased Home Care - overspend of £0.021m due to the impact of current care packages. This is a reduction of £0.085m on month 11 due to an overestimation of the impact of increasing monthly payments on the final position.</li> <li>&gt; Purchased Residential Care - underspend of £0.409m. This is an increase of £0.100m on month 11 and is due to an underestimation of the continued and ongoing reduction in the demand for the service.</li> <li>&gt; Minor Adaptations - overspend of £0.150m reflecting continuing demand.</li> <li>&gt; Occupational Therapy - underspent by £0.077m (£0.046m staffing costs and £0.040m on purchased support offset by other small variances)</li> </ul> <p><u>Older People Services</u></p> <ul style="list-style-type: none"> <li>&gt; Purchased Day Care - underspent by £0.100m due to a change in emphasis in service delivery.</li> <li>&gt; Reablement Service - overspent by £0.071m due to changes in service delivery from TSSA.</li> </ul> | <p>Keep under review. Following phase 1 of TSSA and during the detailed reorganisation of services, it has been decided that most of the PDSI elements included as part of the locality team budgets at the early stages of TSSA will form a separate line under the Disability Services Heading, with only the Occupational Therapy service remaining as part of the locality teams. This will undertaken for 2013/14.</p> <p>A particular difficulty projecting outturns within this service this year is quantifying the impact of the changes in service delivery introduced with TSSA the impact of which is yet to stabilise and which have had greater impact than expected.</p> |
| <u>Continued overleaf</u>   |                     |                        |               |                          |   |   |

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| Locality Teams (Localities)<br><br><u>Continued from previous page</u> |                     |                        |               |                          | <p><u>Older People Services - continued</u></p> <ul style="list-style-type: none"> <li>&gt; Purchased Home Care - overspent by £0.078m reflecting current care packages.</li> <li>&gt; Purchased Residential Care - underspent by £0.163m which reflects current care packages.</li> <li>&gt; Intermediate Care Beds - underspend of £0.029m due to reduced service provision of £0.019m and unbudgeted client contribution of £0.010m.</li> <li>&gt; Professional Support - underspend of £0.052m mostly due to staff vacancies.</li> </ul> <p>The net balance of £0.001m is made up of a number of smaller variances.</p> |                 |

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| Vulnerable Adults and Disability Services (Disability Services) | 1.689               | 1.095                  | (0.594)       | (0.557)                  | A significant exercise has been undertaken to confirm the actual placement of individuals within services which has identified savings due to in-house services being used instead of external placements, together with individuals with revised needs translating as a reduction on the financial impact, and also a number of individuals who have not yet engaged in a service. The movement from month 11 of £0.038m is mostly due to delayed spend on advocacy services (£0.009m) and some final bills being lower than expected for purchased care (£0.019m); the balance of £0.010m is made up of smaller movements. | Work is underway to identify any potential impact on 13/14. Revised arrangements are in place for the review of information regarding individuals with the Transition Team. |

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|---|---------------------|------------------------|---------------|--------------------------|--|-----------------|
| Resource and Regulated Services (Disability Services) | 12.979              | 12.901                 | (0.078)       | 0.032                    | The underspend is mostly due to an underspend of £0.057m within Work Opportunities which is an increase of £0.050m on month 11 underspend due to the final client transport costs being £0.048m lower than expected following the retendering of contracts. Supported Living (In-house and Independent Sector) is underspending in total by £0.027m. This is an improvement of £0.049m in the position reported at month 11 which is mostly due to a reduction in staff costs (£0.019m) and an increase in income (£0.025m). |                 |

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|--|---------------------|------------------------|---------------|--------------------------|--|--------------------|
| Administrative Support (Disability Services) | (1.506)             | (1.685)                | (0.179)       | 0.020                    | Within this area is a budget of £0.215m that is for meeting shortfalls in Health funding of particular care plans e.g. following a review of respective responsibilities for funding the care plan. A review of the process around this is being undertaken and which is not now expected to be completed until mid 2013/14. Consequently, the commitment against having to meet these potential costs in the current year have been removed resulting in most of the movement from month 11. The balance is made up of various small amounts. | Keep under review. |

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|----------------------------------|---------------------|------------------------|---------------|--------------------------|---|--|
| Support Services (Mental Health) | 1.933               | 1.757                  | (0.176)       | (0.148)                  | <p>The underspend shown is the cumulative total of:</p> <ul style="list-style-type: none"> <li>&gt; Residential and Domiciliary Care Service: underspend of £0.132m, reflecting current care packages.</li> <li>&gt; Intensive Support Team: underspend of £0.027m being mostly underspends on pay £0.032m due to temporary secondments and maternity leave offset by the cost of backdated business rates £0.013m which were charged following a reassessment.</li> <li>&gt; Occupation and Employment: underspend of £0.024m mostly pay (£0.055m) offset by an overspend on supplies and services of £0.030m</li> <li>&gt; Professional Support: an overspend of £0.030m.</li> <li>&gt; Grants: underspend of £0.032m being an increase of £0.029m on month 11 due to the delayed start of a project with MIND.</li> </ul> <p>The balance of £0.009 is a net overspend made up of various small variances within the service.</p> | Keep under review due to the potential increase in client numbers. |



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|--|---------------------|------------------------|---------------|--------------------------|---|---|
| Ringfenced Budgets (Mental Health)       | 0.301               | 0.181                  | (0.120)       | (0.120)                  | Reflects current care packages for 2012/13.   | Keep under review - potential volatility due to changes in client numbers and demands at short notice from prison or courts. The possibility of re-aligning budget between the two services has been considered and dismissed for now as there are early indications of additional Mental Health clients although at this stage potential costs or start dates are unknown. |
| Ringfenced Budgets (Learning Disability) | 0.466               | 0.578                  | 0.112         | 0.126                    | This reflects the full year impact of a new service user that commenced during December 2011 together with some other changes to care packages. |   |

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| Good Health (Development & Resources)                | 0.980               | 1.147                  | 0.167         | 0.128                    | The overspend of £0.167m is mainly due to one-off redundancy related costs of £0.122m for three staff falling on the service following a restructure. As these redundancies are due to the cessation of grant funding (Joint Working Special Grant), and therefore not generating an ongoing efficiency, the costs are met within the Service. The increase from month 11 of £0.039m is mostly due to additional redundancy costs of £0.018m and additional unanticipated spend on third party costs of £0.026m. The balance of £0.005m is made up of various small movements across the service. | Not recurring.  |
| Business Services - Income (Development & Resources) | (1.511)             | (1.569)                | (0.058)       | (0.046)                  | The outturn reflects the actual charging policy income received.  |                 |

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| Business Systems (Development & Resources) | 1.274               | 1.092                  | (0.182)       | (0.078)                  | The underspend within this area is mostly due to vacant posts. The movement since month 11 of £0.104m is mainly due to delayed spend on software and hardware of £0.074m and lower than expected costs on postage (£0.034m). The balance of £0.004m is made up of various small movements. |   |
| Family Placement (Children's Services)     | 1.832               | 2.193                  | 0.361         | 0.340                    | The overspend is mainly as a result of an increase in the number of foster care placements within the service. It is also due to the increasing number of court orders for Residence and Special Guardianship orders which invariably attract an ongoing allowance for the carers.         | A review of the Family Placement Team has commenced the outcome of which will inform future planning and possible efficiencies. |
| Other Residential (Children Services)      | 0.526               | 0.466                  | (0.060)       | (0.065)                  | The underspend relates to the opening of Arosfa being later than anticipated and now reflects final bills.   | Keep under review due to the potential for additional costs relating to transport charges.                                      |
| Grants (Children Services)                 | 0.115               | 0.087                  | (0.028)       | (0.048)                  | The underspend is due to reduced activity within this area. The movement from month 11 is due to additional Child Trust Fund Payments.   |   |

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| Youth Offending Team (Children Services) | 0.361               | 0.361                  | 0.000         | (0.046)                  | This service is now on budget. The movement from month 11 is due to actual income received being lower than expected (£0.035m) together with additional unanticipated expenditure (£0.010m). |                 |

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| Professional Support (Children's Services) | 5.429               | 5.672                  | 0.243         | 0.236                    | <p>Professional Support is a complex area comprising of Fieldwork, Resources, Children's Integrated Disability Service (CIDS) and General.</p> <p><u>Fieldwork:</u><br/>Overall Fieldwork is overspending by £0.348m, no change from month 11. Main contributors are</p> <ul style="list-style-type: none"> <li>&gt; Duty and Assessment Team £0.119m overspend due to agency costs offset by underspends within staff pay.</li> <li>&gt; Children and Young Adolescent Support Team (CYAST) overspend of £0.277m which is mostly due to client payments £0.199m and staff pay £0.064m.</li> <li>&gt; Family Intervention Team are underspending by £0.048m which is a slightly reduced underspend to that reported at month 11.</li> </ul> <p><u>Resources:</u><br/>Overall underspent by £0.061m which is an increase of £0.013m from month 11. The main contributors are:</p> | <p>Keep under review. The Head of Service (Children) has instigated a review into the specifics around these two service users.</p> <p>Due to the overspend within Children Services spending is kept to a minimum where possible.</p> |
| <p><u>continued overleaf</u></p>           |                     |                        |               |                          |  |  |

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| Professional Support (Children's Services)<br><br><u>continued from previous page</u> |                     |                        |               |                          | > Family Support Team underspend of £0.033m mostly due to pay £0.030m.<br>> N Wales Adoption Service underspend £0.017m due to reduced payments.<br><u>CIDS:</u> Overall CIDS are overspending by £0.044m.<br>> Children's OT underspend of £0.009m mostly due to reduced equipment purchases of £0.008m.<br>> Disabilities Team underspending £0.030m mostly due to pay £0.023m and transfer payments £0.010m offset by other smaller variances.<br>> Direct Payments overspend of £0.082 due to increased use.<br><u>General:</u> Overall projected underspend of £0.87m, a reduction of £0.018m on month 11 mostly due to additional legal and court costs of £0.015m. The main contribution of the underspend is a much reduced spend of the Children First budget £0.112m offset by an increase of £0.044m in Safeguarding and Independant Review against staff pay, wrongly charged to another Service. The balance of £0.001m is made of a number of smaller variances. |                 |

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| Out of County Pooled Budget (Children's Services) | 3.711               | 2.887                  | (0.824)       | (0.778)                  | Costs reflect existing placements up until March 2013. This reflects known reductions in payments to providers following re-negotiation of contracts. | <p>The focus of high cost placements is now a North Wales project and will continued to be reviewed. The in house project requires sign off by the two directors at the next meeting.</p> <p>The impact of these changes on the Children Services Fostering and Leaving Care budgets has not yet been quantified. Further details are awaited.</p> |
| Ring-fenced Budget (Housing Services)             | 0.377               | 0.223                  | (0.154)       | (0.109)                  | This reflects current position of the anticipated demand on the service this financial year.  | Keep under review. One off and non recurring due to anticipated rise in presentations in 13/14 due to Welfare Reform impact and projections that the full budget will be required for further temporary accomodation units in the future.  |
| Homelessness (Housing Services)                   | 0.488               | 0.345                  | (0.143)       | (0.110)                  | Review of expected spend undertaken and revised in line with trend.   |  |
| Renovation Grants (Housing Services)              | (0.117)             | (0.178)                | (0.061)       | (0.030)                  | Income target exceeded due to additional capital being levered in to the programme from external sources.   |  |

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| Resident Wardens (Housing Services) | 1.236               | 1.056                  | (0.180)        | (0.137)                  | Budget based on restructure. Salaries carry vacancies for the new structure yet to be implemented. | Restructure approval required.                       |
| Other variances (aggregate)         | 4.955               | 4.929                  | (0.026)        | (0.131)                  | Various minor variances.   | Continue to review but not expected to be recurrent. |
| <b>Total :</b>                      | <b>58.614</b>       | <b>55.182</b>          | <b>(3.432)</b> | <b>(2.564)</b>           |  |  |